

Meeting	Audit, Risk and Finance Committee
Date	Wednesday 13 March 2019
Time	The meeting commenced at 10.09am The meeting concluded at 12.29pm
Venue	Gateway North Conference Room – 1999 State Highway 1, Kaiwaka
Status	Confirmed

Minutes

Membership

Chair: Stana Pezic
Members: Councillor del la Varis-Woodcock
Councillor Larsen
Councillor Wade
Deputy Mayor Wethey

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Confirmed

Minutes of the Ordinary meeting of the Audit, Risk and Finance Committee
Wednesday 13 March 2019 in Kaiwaka
1 Opening
1.1 Present

Stana Pezic (Chair), Mayor Jason Smith, Deputy Mayor Peter Wethey, Councillor del la Varis-Woodcock and Councillor Jonathan Larsen

The Chair advised the meeting that Councillor Julie Geange had resigned from the Audit, Risk and Finance Committee, effective prior to the meeting on 13 March 2019.

In Attendance

Name	Designation	Item(s)
Jason Marris	General Manager Governance, Strategy and Democracy	All (Minute-taker for 4.4— close)
Sue Davidson	General Manager Risk, IT and Finance	All
Matt Smith	Four Waters Planning and Design Engineer	1—4.2
Prue Miller	Health and Safety Advisor	1—4.2
Tanya Wilson	Governance Advisor	1—4.3
Lisa Hong	Governance Advisor	1—4.3 (Minute-taker for 1—4.3)

1.2 Apologies

Moved Wethey/del la Varis-Woodcock

That the apology of Councillor Wade be received.

Carried

1.3 Confirmation of Agenda

Moved Smith/Wethey

That the committee confirms the agenda with the inclusion of the item 'Verbal Update - Investigation' as item 4.4 in the Public Excluded session of the meeting.

Confirmed

1.4 Conflict of Interest Declaration

Nil.

2 Confirmation of Minutes

2.1 Open Audit, Risk and Finance Committee minutes 12 December 2018

General Manager Governance, Strategy and Democracy 1610.07

Moved Smith/Wethey

That the Open minutes of the Audit, Risk and Finance Committee meeting held 12 December 2018 be confirmed as a true and correct record, with the minor grammatical corrections.

Carried

3 Open agenda items 13 March 2019

3.1 Quarterly Finance Report

General Manager Risk, IT and Finance 2304.15/AR&F 2018.2019/Mar

Moved Wethey/Larsen

That the Audit, Risk and Finance Committee notes the General Manager Risk, IT and Finance's report 'Quarterly Finance Report' dated 20 February 2019.

Carried

3.2 Legal Compliance update

General Manager Risk, IT and Finance 2304.15/AR&F 2018/2019/Dec

Moved del la Varis-Woodcock/Smith

That the Audit Risk and Finance Committee notes the General Manager Risk, IT and Finance's report 'Legal Compliance update' dated 18 February 2019.

Carried

3.3 Treasury Management

Finance Manager 2304.15/AR&F Sept 2018

Moved Smith/Wethey

That the Audit, Risk and Finance Committee notes the Finance Manager's report 'Treasury Management' dated 25 February 2019.

Carried

3.4 Stormwater asset data improvement

Waters and Waste Manager 44

Moved del la Varis-Woodcock/Smith

That the Audit, Risk and Finance Committee notes the Waters and Waste Manager's report 'Stormwater asset data improvement' dated 27 February 2019.

Carried

3.5 Health and safety update March 2019

Waters and Waste Manager 2209.09

Moved Smith/del la Varis-Woodcock

That the Audit, Risk and Finance Committee notes the Waters and Waste Manager's 'Health and safety update March 2019' and Attachment A 'Second Quarter Scorecard'.

Carried

Confirmed

4 Public Excluded Audit, Risk and Finance Committee agenda items 13 March 2019

The meeting went into Public Excluded session at 11.48am.

Moved Smith/Wethey

That the public be excluded from the following part of the proceedings of this meeting, namely

- Public Excluded Audit, Risk and Finance Committee minutes 12 December 2018;
- Contract Monitoring and Reporting: Infrastructure, Planning and Regulatory;
- Potential claim
- Verbal update - Investigation

The general subject matter of each matter to be considered while the public is excluded and the reasons for passing this resolution in relation to each matter and the specific grounds under Section 48 (1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered:	Reason for passing this resolution	Ground(s) under Section 48 (1) for the passing this resolution:
<i>Public Excluded Audit, Risk and Finance Committee minutes 12 December 2018</i>	<i>Section 7(2)(g) maintain legal professional privilege. Section 7(2)(i) enable any authority holding the information to carry on, without prejudice or disadvantage negotiations (including commercial and industrial negotiations).</i>	<i>Section 48(1)(a) That the public conduct of the whole or the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for which good reason for withholding would exist.</i>
<i>Contract Monitoring and Reporting: Infrastructure, Planning and Regulatory</i>	<i>Section 7(2)(i) enable any local authority holding the information to carry on, without prejudice or disadvantage negotiations (including commercial and industrial negotiations).</i>	<i>Section 48(1)(a) That the public conduct of the whole or the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for which good reason for withholding would exist.</i>

Potential claim	<p>Section 7(2)(g) maintain legal professional privilege.</p> <p>Section 7(2)(i) enable any authority holding the information to carry on, without prejudice or disadvantage negotiations (including commercial and industrial negotiations).</p>	<p>Section 48(1)(a) That the public conduct of the whole or the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for which good reason for withholding would exist.</p>
Verbal update - Investigation	<p>Section 7(2)(a) protect the privacy of natural persons, including that of deceased natural persons</p>	<p>Section 48(1)(a) That the public conduct of the whole or the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for which good reason for withholding would exist.</p>

Carried

Confirmed



5 Open Audit, Risk and Finance Committee meeting 13 March 2019

The meeting returned to Open session at 12.29pm.

Closure

Meeting closed at 12.29pm

Confirmed **12 June 2019**

Chair **Stana Pezic**

Kaipara District Council
Dargaville

Confirmed